Fiduciary Considerations: Practices & Resources
Seattle Divestment Forum, October 18 2013

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CERTIFIED FINANCIAL PLANNER®
ACCREDITED INVESTMENT FIDUCIARY®

Sustainable, responsible, impactful investing since 1986
Securities and investment advisory services offered by Eric Smith through KMS Financial Services, Inc.
After climate crisis

World Population

- Microbes: 25%
- Cockroaches: 42%
- Legos: 33%

Legend:
- Microbes
- Cockroaches
- Legos
A required investment?
Fiduciary leaders

fi·du·ci·ar·y
fəˈdəʊSHēˌerē, -SHərē/
Late 16th cent. (in the sense ‘something inspiring trust; credentials’): from Latin *fiduciarius*, from *fiducia* ‘trust,’ from *fidere* ‘to trust.’
Involving trust, esp. with regard to the relationship between a trustee and a beneficiary.
A legal framework for the integration of environmental, social and governance issues into institutional investment.

Produced for the Asset Management Working Group of the UNEP Finance Initiative

October 2005
Fiduciary responsibility: Legal and practical aspects of integrating environmental, social and governance issues into institutional investment. A report by the Asset Management Working Group of the United Nations Environment Programme Finance Initiative. 92 pgs
Practice SA-2.7

The IPS defines appropriately structured, socially responsible investment (SRI) strategies (where applicable).
Tomorrow’s investors are...

Value-Seeking

Companies that understand and respond to changes taking place in the world offer superior long-term investment opportunities.
Example: Generation Asset Management

Values-Based

Values are important, so they should be incorporated into investment decisions.
Example: General Board of Pension of the United Methodist Church

Governance interested

Company management teams should be held accountable to stakeholders.
Example: CalPERS

Change agents

Use market forces to create change in the world
Example: Skoll Foundation

Sources: Peter Kinder “Socially Responsible Investing: An evolving concept in a changing world”; Nelson Capital analysis
CLIMATE SUMMIT

WHAT IF IT’S A BIG HOAX AND WE CREATE A BETTER WORLD FOR NOTHING?

- ENERGY INDEPENDENCE
- PRESERVE RAINFORESTS
- SUSTAINABILITY
- GREEN JOBS
- LIVABLE CITIES
- RENEWABLES
- CLEAN WATER, AIR
- HEALTHY CHILDREN
- ETC. ETC.
History & Profile

✓ 40 years combined experience sustainable, responsible, impact investing space.
✓ Serving families, organizations.
✓ Credentialed team: AIF, CFP, Sustainable MBA. SEC & FINRA registered team.
✓ High advisor-to-client ratio ensures quality service delivery.

Affiliations & Resources

✓ fi360
✓ Financial Planning Association
✓ First Affirmative Financial Network
✓ Green America
✓ Mission Investors Exchange
✓ Seattle Good Business Network
✓ Seattle Philanthropic Advisors Network
✓ US|SIF: Sustainable Investment Forum

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